

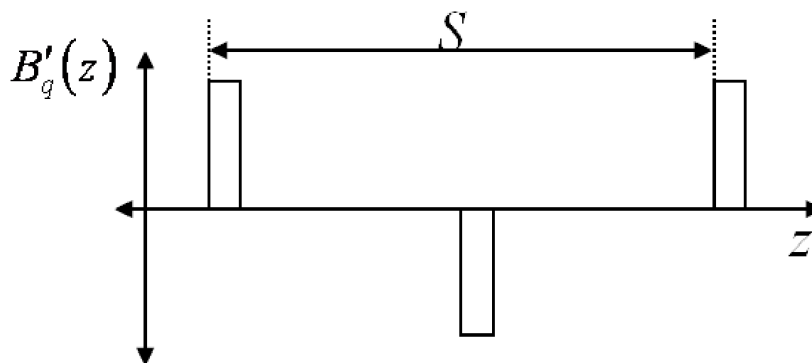
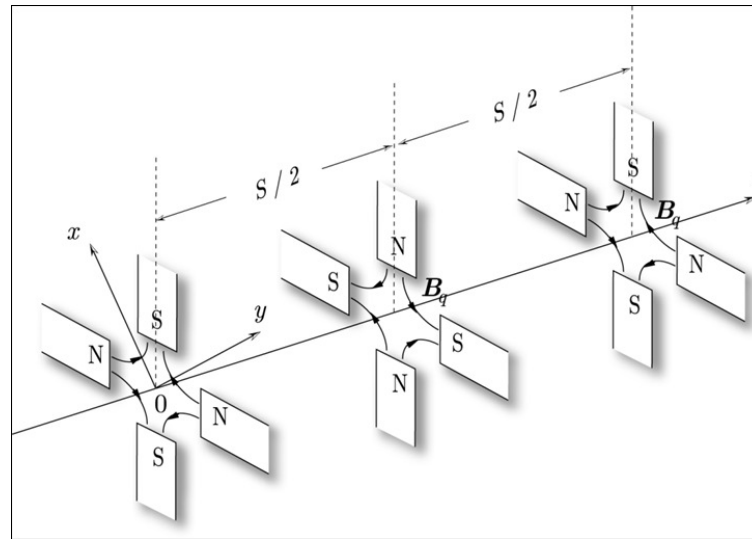
Numerical and analytical studies of matched kinetic quasi-equilibrium solutions for an intense charged particle beam propagating through a periodic focusing quadrupole lattice.*

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Alternating-Gradient Transport Systems Use a Spatially Periodic Lattice of Quadrupole Magnets for Transverse Confinement



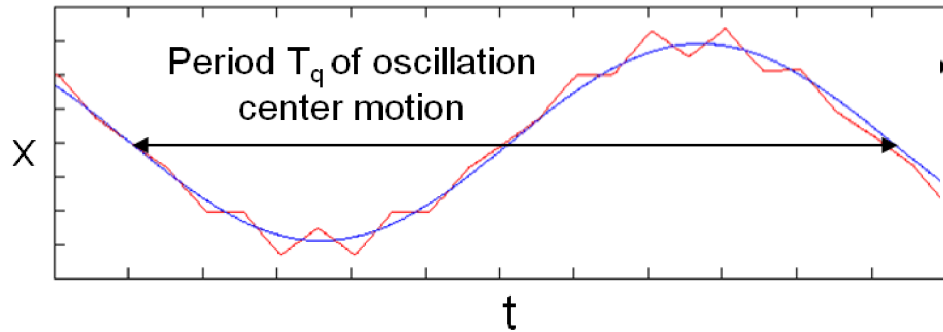
$$B_q^{foc}(\mathbf{x}) = B'_q(z) (y\hat{e}_x + x\hat{e}_y)$$

$$F_{foc}(\mathbf{x}) = -\kappa_q(z) (x\hat{e}_x - y\hat{e}_y)$$

$$\kappa_q(z) \equiv \frac{ZeB'_q(z)}{\gamma m \beta c^2}$$

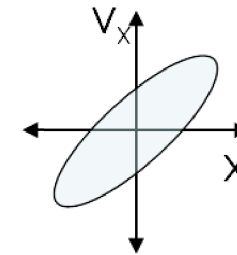
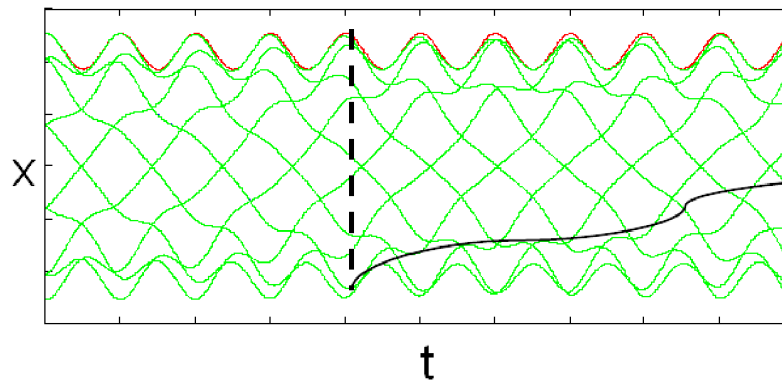
Particle trajectories are fast oscillations on top of slow motion of guiding centers

$\kappa_q(z)$: FODO lattice



$$\sigma_v = \omega_q / f$$

$\kappa_q(z)$: sinusoidal lattice



Motivations

- Identifying regimes for quiescent propagation of intense beams over long distances is of the great interest in accelerator research.
- In particular, the development of systematic theoretical approaches that are able to treat self-consistently the applied oscillating force and the nonlinear self-field force of the beam particles simultaneously has been a major challenge of modern beam physics.
- To determine matched-beam quasi-equilibrium distribution functions one needs to determine a dynamical invariant for the beam particles moving in the combined applied and self-generated fields.
- Typically, it is advantageous to eliminate fast oscillations from formalism and describe complex beam particle motion in a new non-oscillating coordinates.
- Standard Hamiltonian techniques are cumbersome due to use of mixed oscillating and non-oscillating independent variables.

Abstract

- New Hamiltonian averaging technique has been developed, which incorporated both the applied periodic focusing force and the self-field force of the beam particles.
- Newly developed technique is specially designed to avoid use of oscillating independent variables. The method is analogous to the Lie transform methods in using only non-oscillating independent variables. At the same time the new approach retains the advantages of simplicity of Hamiltonian methods.
- Using the particle's vacuum phase advance $\epsilon = \sigma_v/2\pi$ treated as a small parameter, the perturbative series are constructed to transform away the fast particle orbit oscillations and obtain the average Hamiltonian accurate to order ϵ^3 .
- The average Hamiltonian is an approximate invariant of the original system, and can be used to determine self-consistent beam quasi-equilibrium solutions that are matched to the focusing channel.
- Making use of this new method equations determining the average self-field potential for general boundary conditions has been obtained for the first time by taking into account the average contribution of the charges induced on the boundary.

References

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Vlasov-Poisson system of equations

- The transverse dynamics of the intense charged particle beam can be described by the nonlinear Vlasov-Poisson system of equations for the beam distribution function $f(x, p, s)$ and the normalized self-field potential $\Psi(x, s)$.
- Here $s = v_b t$ is the longitudinal coordinate, and v_b is the directed beam velocity. The function $f(x, p, s)$ satisfies the nonlinear Vlasov equation

$$\frac{df}{ds} = \frac{\partial f}{\partial s} + \sum_{\alpha=1}^2 \frac{dx^\alpha}{ds} \frac{\partial f}{\partial x^\alpha} + \sum_{\alpha=1}^2 \frac{dp^\alpha}{ds} \frac{\partial f}{\partial p^\alpha} = 0,$$

- where the particle equations of motion are give by

$$\frac{dx^\alpha}{ds} = \frac{\partial H}{\partial p^\alpha}, \quad \frac{dp^\alpha}{ds} = -\frac{\partial H}{\partial x^\alpha},$$

- The Hamiltonian $H(x, p, s)$ describes the particle motion in a force field that is the sum of a linear, externally applied, transverse focusing force with components $F_{foc}^\alpha = -\kappa(s)\eta^\alpha x^\alpha$ and the normalized self-field potential $\Psi(x, s)$ is calculated self-consistently using Poisson's equation.
- $\kappa(s)$ is the focusing field strength $\eta^1 = 1, \eta^2 = -1$.

The Hamiltonian H for the particle motion

- It is convenient to introduce the re-normalized variables $\bar{x} = x/a$, $\bar{s} = s/S_0$, $\bar{\kappa}(s) = \kappa(s)/\kappa_0$, $\bar{p} = p/(a\kappa_0 S_0)$ and $\bar{f} = (f/N)a^4(\kappa_0 S_0)^2$, where S_0 is the characteristic period of the applied focusing force, a is the characteristic transverse beam dimension, and κ_0 is the characteristic value of the lattice function $\kappa(s)$.

- After the normalization, the Hamiltonian becomes

$$\bar{H}(\bar{x}, \bar{p}, \bar{s}) = \bar{\kappa}(\bar{s}) \frac{[\eta^\alpha \bar{x}^\alpha \bar{x}^\alpha]}{2} + \epsilon \left\{ \frac{[\bar{p}^\alpha \bar{p}^\alpha]}{2} + \int L(\bar{x}, \bar{x}') \bar{f}(\bar{x}', \bar{p}', s') D\bar{x}' D\bar{p}' \right\},$$

where $\epsilon \equiv S_0^2 \kappa_0$ and $\int d\bar{x} d\bar{p} \bar{f} = 1$.

- We adopt the notation $[x^\alpha x^\alpha] \equiv \sum_{\alpha=1}^2 x^\alpha x^\alpha$ and $\int dx_1 dx_2 Z = \int DxZ$.
- Green's function $L(\bar{x} - \bar{x}')$ satisfies the equation

$$\left[\frac{\partial}{\partial \bar{x}^\alpha} \frac{\partial}{\partial \bar{x}^\alpha} \right] L(\bar{x} - \bar{x}') = -s_b \delta(\bar{x} - \bar{x}').$$

Here, $s_b = 2K/(\kappa_0 S_0)^2 a^2 = (4\pi q^2 N/a^2 \gamma_b^3)/(\kappa_0 S_0 v_b)^2$ is a measure of the beam space-charge intensity.

- For the beam confined by the external focusing force the maximum value of $(s_b)^{max} \sim 1$.

Perturbative Hamiltonian Transformation Method

- We search for a time-dependent canonical transformation of the form $(x^\alpha, p^\alpha, H, s) \rightarrow (Q^\alpha, P^\alpha, K, s)$

$$\begin{aligned}x^\alpha &= x^\alpha(Q, P, s), \\p^\alpha &= p^\alpha(Q, P, s),\end{aligned}$$

with time-independent transformed Hamiltonian $K(Q, P)$.

- For every canonical transformation there is a function S that satisfies the differential relation

$$[p^\alpha dx^\alpha] - H ds = dS + [P^\alpha dQ^\alpha] - K ds.$$

- Express $S = U + p_0(Q, P, s)^\alpha (x - Q)^\alpha$, where $U(Q, P, s)$ and $p_0(Q, P, s)$ are functions of the new phase-space variables.

$$\begin{aligned}\left[(x - Q)^\alpha \frac{\partial p_0^\alpha}{\partial P^\beta} \right] &= \left[(p - p_0)^\alpha \frac{\partial (x - Q)^\alpha}{\partial P^\beta} \right] - \frac{\partial U}{\partial P^\beta}, \\(p - P)^\beta &= - \left[(p - p_0)^\alpha \frac{\partial (x - Q)^\alpha}{\partial Q^\beta} \right] + \frac{\partial U}{\partial Q^\beta} + \left[(x - Q)^\alpha \frac{\partial p_0^\alpha}{\partial Q^\beta} \right], \\K - H &= -(p - p_0)^\alpha \frac{\partial (x - Q)^\alpha}{\partial s} + \frac{\partial U}{\partial s} + (x - Q)^\alpha \frac{\partial p_0^\alpha}{\partial s}.\end{aligned}$$

The distribution function in the new coordinates

- Particle conservation in the phase-space volume $Dx Dp$ under the transformation

$$F(Q, P, s) DQDP = f(x, p, s) Dx Dp.$$

- For a canonical transformation, the phase-space volume is conserved according to $Dx Dp = DQDP$, and therefore $F(Q, P, s) = f[x(Q, P, s), p(Q, P, s), s]$.
- The new distribution function satisfies the Vlasov equation $dF/ds = 0$.
- For a time-independent Hamiltonian, there exists a trivial solution to the Vlasov equation, $F = G[K(Q, P)]$ for arbitrary function G .
- The matched solution can be found from $f(x, p, s) = G\{K_G[Q_G(x, p, s), P_G(x, p, s)]\}$.
- For solutions of this form, the Hamiltonian becomes

$$H(x, p, s) = \frac{\kappa(s)[\eta^\alpha x^\alpha x^\alpha]}{2} + \epsilon \left\{ \frac{[p^\alpha p^\alpha]}{2} + \int L[x, x(\bar{Q}, \bar{P}, s)] G[K(\bar{Q}, \bar{P})] D\bar{Q} D\bar{P} \right\}.$$

Iterative procedure of finding the canonical transformation in terms of the small parameter

$$\epsilon \sim \sigma_v / 2\pi \ll 1$$

- Make an expansion

$$p = p_0(Q, P, s) + \sum_{n=1} \epsilon^n p_n(Q, P, s),$$

$$x = Q + \sum_{n=1} \epsilon^n x_n(Q, P, s),$$

$$U = U_0(Q, P, s) + \sum_{n=1} \epsilon^n U_n(Q, P, s),$$

$$K = K_0(Q, P) + \sum_{n=1} \epsilon^n K_n(Q, P),$$

where p_n , x_n , U_n and K_n ($n = 0, 2, \dots$) are functions to be determined by the iterative procedure.

- We expand the function H according to

$$\begin{aligned} H(x, p, s) &\equiv H\left[Q + \sum_{n=1} \epsilon^n x_n, p_0 + \sum_{n=1} \epsilon^n p_n, s\right] \\ &= H_0(Q, P, s) + \sum_{n=1} \epsilon^n H_n(Q, P, s). \end{aligned}$$

Iterative procedure up to second order in

$$\epsilon \sim \sigma_v / 2\pi$$

- The average Hamiltonian K has the form $K = \epsilon(K_1 + \epsilon K_2 + \epsilon^2 K_3 + \dots)$. The ϵ in front of the bracket renormalizes the time scale, so that the average dynamics occurs on the slow time-scale $Q = Q(\epsilon s)$ and $P = P(\epsilon s)$.
- Therefore, to determine the trajectories $x(s)$ and $p(s)$ valid to second order in ϵ , we need to determine the average Hamiltonian K valid up to the third order in ϵ .

Canonical transformation up to second order in

$$\epsilon \sim \sigma_v / 2\pi$$

$$x^\alpha = Q^\alpha - \epsilon \kappa^{(2)} \eta^\alpha Q^\alpha + \epsilon^2 \left\{ 2\kappa^{(3)} \eta^\alpha P^\alpha + (\kappa \kappa^{(2)})^{(2)} Q^\alpha \right\},$$

and

$$\begin{aligned} p^\alpha = & \left\{ P^\alpha - \kappa^{(1)} \eta^\alpha Q^\alpha \right\} + \epsilon \left\{ \kappa^{(2)} \eta^\alpha P^\alpha + (\kappa \kappa^{(2)})^{(1)} Q^\alpha \right\} + \\ & + \epsilon^2 \left\{ (3 \langle (\kappa^{(2)})^2 \rangle - 2(\kappa \kappa^{(3)})^{(1)} - (\kappa \kappa^{(2)})^{(2)}) P^\alpha \right. \\ & \left. + (\kappa^{(3)} \langle (\kappa^{(1)})^2 \rangle - (\kappa (\kappa \kappa^{(2)})^{(2)})^{(1)}) \eta^\alpha Q^\alpha \right\} + \epsilon \frac{\partial}{\partial Q^\alpha} \Psi(Q)^{(1)}. \end{aligned}$$

- Here, $\langle A \rangle \equiv (1/S) \int_{s_0}^{s_0+S} d\bar{s} A(\bar{s})$, and $\ll A \gg \equiv A - \langle A \rangle$. Here, we also introduce the notation $A^{(0)} \equiv \ll A \gg$ and

$$A^{(n)} \equiv \ll \int ds A^{(n-1)} \gg, \quad \text{for } n \geq 1$$

- The time-independent Hamiltonian correct to the third order in ϵ is

$$\begin{aligned} K = & \epsilon \left\{ \frac{[P^\alpha P^\alpha]}{2} \left(1 + 3\epsilon^2 \langle (\kappa^{(2)})^2 \rangle \right) + \frac{[Q^\alpha Q^\alpha]}{2} \left(\langle (\kappa^{(1)})^2 \rangle \right. \right. \\ & \left. \left. + \epsilon^2 \langle ((\kappa \kappa^{(2)})^{(1)})^2 \rangle \right) + \langle \Psi(Q) \rangle \right\} \end{aligned}$$

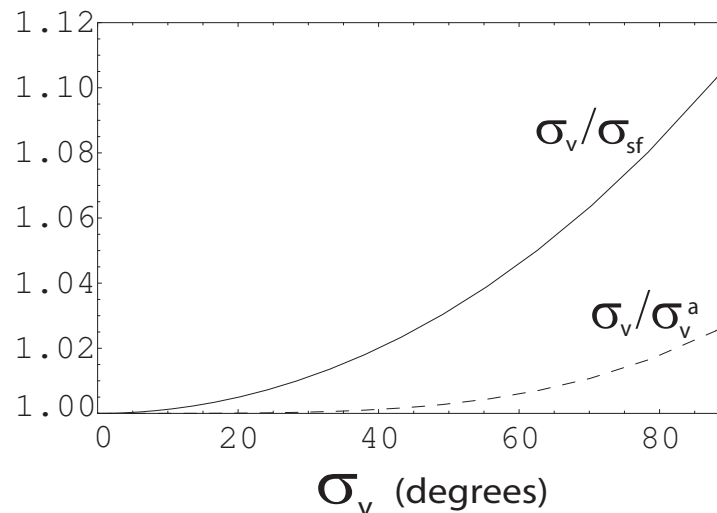
$$\Psi(Q) = \int D\bar{Q} L(Q_\alpha (1 + \epsilon \eta^\alpha \kappa^{(2)}), \bar{Q}_\alpha (1 + \epsilon \eta^\alpha \kappa^{(2)})) n(\bar{Q}), \quad n(Q) = \int DPF(Q, P).$$

Approximate vs. Exact

- The approximate expression for the square of the vacuum phase advance σ_v^2 valid up to fourth order in small parameter ϵ , i.e.,

$$\sigma_v^2 = S^2 \epsilon^2 \left\{ 1 + 3\epsilon^2 \langle (\kappa^{(2)})^2 \rangle \right\} \left\{ \langle (\kappa^{(1)})^2 \rangle + \epsilon \langle \kappa(\kappa^{(2)})^2 \rangle + \epsilon^2 \langle ((\kappa\kappa^{(2)})^{(1)})^2 \rangle \right\}.$$

- Plots of the normalized quantities σ_v/σ_{sf} and σ_v/σ_v^a versus the vacuum phase advance σ_v for periodic step-function lattice with filling factor $\delta = 1/2$.



Evaluation of self-field potential

- Expanding correct to second order in the small parameter ϵ , we obtain the expression for the average potential

$$\begin{aligned} \langle \Psi(Q) \rangle &= (1 + \epsilon^2 \langle v^2 \rangle) \phi_0 + \epsilon^2 \langle v^2 \rangle \left(\phi_1 - \left[\eta^\alpha Q^\alpha \frac{\partial}{\partial Q^\alpha} \right] \phi_2 \right. \\ &\quad \left. + \frac{1}{2} \left[\eta^\alpha \eta^\beta Q^\alpha Q^\beta \frac{\partial^2}{\partial Q^\alpha \partial Q^\beta} \right] \phi_0 \right), \end{aligned}$$

where $v = \kappa^{(2)}$ and the functions $\phi_0(Q)$, $\phi_1(Q)$ and $\phi_2(Q)$ satisfy the Poisson-type equations

$$\begin{aligned} \nabla_{\perp}^2 \phi_0 &= -s_b n(Q), \\ \nabla_{\perp}^2 \phi_1 &= -s_b \left(\left[Q^\alpha \frac{\partial}{\partial Q^\alpha} \right] + \frac{1}{2} \left[\eta^\alpha \eta^\beta Q^\alpha Q^\beta \frac{\partial^2}{\partial Q^\alpha \partial Q^\beta} \right] \right) n(Q), \\ \nabla_{\perp}^2 \phi_2 &= -s_b \left[\eta^\alpha Q^\alpha \frac{\partial}{\partial Q^\alpha} \right] n(Q), \end{aligned}$$

- In cylindrical coordinates $Q_1 = r \cos(\theta)$, $Q_2 = r \sin(\theta)$

$$\left[\eta^\alpha Q^\alpha \frac{\partial}{\partial Q^\alpha} \right] = Q^1 \frac{\partial}{\partial Q^1} - Q^2 \frac{\partial}{\partial Q^2} = \cos(2\theta) r \frac{\partial}{\partial r} - \sin(2\theta) \frac{\partial}{\partial \theta}.$$

Boundary conditions for self-field potential

- Needs to specify some boundary surface in the coordinate space (Q^1, Q^2) and certain boundary conditions on this boundary.
- It is convenient to designate this boundary surface to be a surface in the coordinate space (Q^1, Q^2) , where the function $L(Q, \bar{Q})$ satisfies the same boundary conditions as the function $L(x, \bar{x})$ in the coordinate space (x^1, x^2) .
- In that case, the boundary conditions for $\phi_0(Q)$, $\phi_1(Q)$ and $\phi_2(Q)$ in the coordinate space (Q^1, Q^2) are the same as the boundary conditions for the Green's function $L(Q, \bar{Q})$.
- Note that this boundary surface in the coordinate space (Q^1, Q^2) becomes a surface that oscillates around the boundary surface in the coordinate space (x^1, x^2) .
- Because the two surfaces differ, the average potential $\bar{\Psi}(Q)$ in the coordinate space (Q^1, Q^2) does not satisfy the same boundary conditions as the un-averaged potential in the coordinate space (x^1, x^2) .

Equilibrium self-field potential

- In equilibrium

$$n(Q) = \int dPG(K) = \int dPG(K_{kin} + K_{ext} + \Phi_0 + \epsilon^2 \langle v^2 \rangle \bar{\Psi}_1).$$

- For perfectly conducting cylindrical boundary of radius R_w ,

$$\bar{\Psi}_1 = p(r) + \cos(4\theta)q(r),$$

where

$$\left(\frac{1}{r} \frac{d}{dr} r \frac{d}{dr} + s_b n'_0(r) \right) p(r) = -s_b \frac{8}{R_w^4} \int_0^{R_w} dr r^3 n_0(r),$$

$$\left(\frac{1}{r} \frac{d}{dr} r \frac{d}{dr} - \frac{16}{r^2} + s_b n'_0(r) \right) q(r) = -2s_b \left(n_0 + \frac{4}{r^2} \int_0^r d\bar{r} \bar{r} n_0(\bar{r}) - \frac{12}{r^4} \int_0^r d\bar{r} \bar{r}^3 n_0(\bar{r}) \right)$$

- with boundary conditions

$$p(R_w) = -\frac{2s_b}{R_w^2} \int_0^{R_w} dr r^3 n_0(r), \quad q(R_w) = -s_b \left(\frac{2}{R_w^2} \int_0^{R_w} d\bar{r} \bar{r}^3 n_0(\bar{r}) - \frac{1}{2} \int_0^{R_w} d\bar{r} \bar{r} n_0(\bar{r}) \right).$$

- Here, $n_0(r) \equiv \int dPG[K_{kin} + K_{ext} + \Phi_0(r)]$ and $n'_0 \equiv \partial n_0(r) / \partial \Phi_0$ with $\Phi_0(r)$ determined self-consistently through

$$\frac{1}{r} \frac{d}{dr} r \frac{d}{dr} \Phi_0 = -s_b n_0(r),$$

Thermal equilibrium distribution

- Zero-order thermal equilibrium average beam profile

$$\bar{n}_0 = n_0(r)/n_0(0) = \exp\left(-\frac{\langle (\kappa^{(1)})^2 \rangle r^2/2 + \Phi_0(r)}{\bar{T}}\right).$$

- Normalizing distance as $\rho^2 = r^2 s_b \bar{n}/T$ and potential as $\bar{\phi}_0 = \Phi_0/T$, $\bar{q} = q/T$.
- For thermal equilibrium distribution normalized quantities are function of two parameters

$$\epsilon \sim (\sigma_v/2\pi)$$

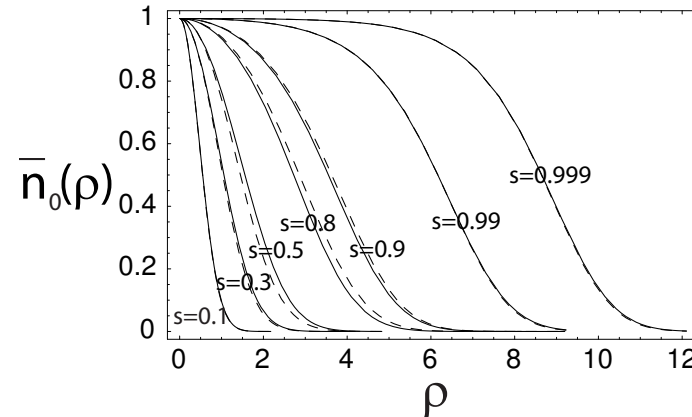
and beam intensity

$$\bar{s} = s_b \bar{n}/(2 \langle (\kappa^{(1)})^2 \rangle) = \omega_p^2/2\omega_{sf}^2 < 1,$$

where $\omega_p^2 = 4\pi q^2 n_0(0)/m_b$ is the plasma density at the beam center and $\omega_{sf} = (\sigma_v/2\pi)(v_b/S)$ is the average focusing frequency of the lattice.

Maxwellian distribution averaged density profile

- Plots of density profiles $\bar{n}_0(\rho)$ for different values of intensity parameter $\bar{s} = 0.1; 0.3; 0.5; 0.8; 0.9; 0.99; 0.999$.



- For $\bar{s} \ll 1$

$$\bar{n}_0(\rho) \approx \left(1 + \frac{\rho^2}{4\bar{s}}\right)^{\bar{s}} \exp\left(-\frac{\rho^2}{4\bar{s}}\right).$$

- For $\Delta = 1/\bar{s} - 1 \ll 1$

$$\bar{n}_0(\rho) \approx \frac{\left[1 + \frac{1}{2}\Delta + \frac{1}{24}\Delta^2\right]^2}{\left[1 + \frac{1}{2}\Delta I_0(\rho) + \frac{1}{24}[\Delta I_0(\rho)]^2\right]^2},$$

where $I_0(\rho)$ is zero order modified Bessel function.

Corrections to density profile for Maxwellian distribution

- Normalized equilibrium density profile, accurate to second order in ϵ , can be expressed as

$$\bar{n}(\rho, \theta) = \bar{n}_0(\rho)[1 - \epsilon^2 \langle (\kappa^{(2)})^2 \rangle \cos(4\theta)\bar{q}(\rho)].$$

- Define RMS radius as

$$R^2(\theta) = \frac{\int \rho^3 \bar{n}(\rho, \theta) d\rho}{\int \rho \bar{n}(\rho, \theta) d\rho}, \quad R_0^2 = \frac{\int \rho^3 \bar{n}_0(\rho) d\rho}{\int \rho \bar{n}_0(\rho) d\rho}.$$

- Relative change in RMS radius as $\delta R(\theta)/R_0 = R(\theta)/R_0 - 1$ can be expressed as

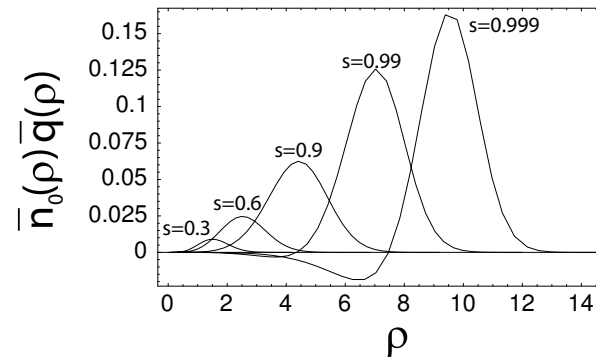
$$\frac{\delta R(\theta)}{R_0} = -\epsilon^2 \langle v^2 \rangle \cos(4\theta)A(\bar{s}),$$

where $A(\bar{s})$ is given by

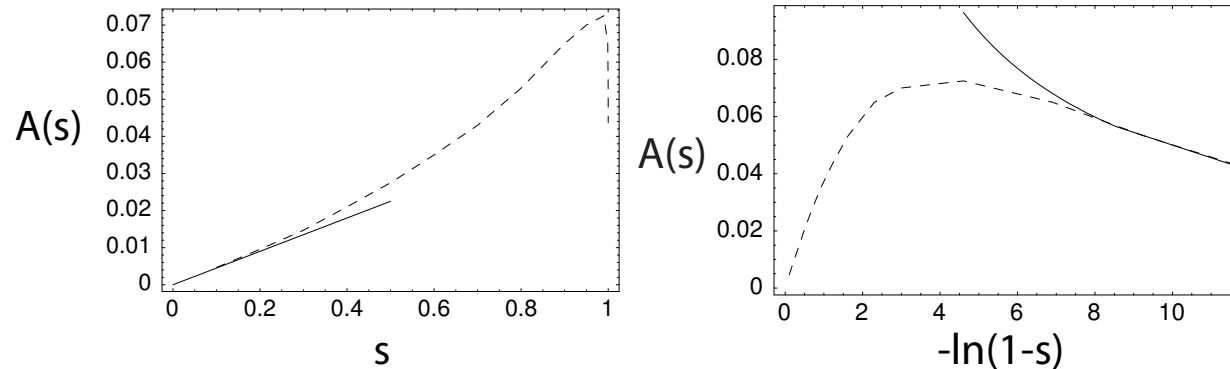
$$A(\bar{s}) = \frac{1}{2} \left[\frac{\int_0^\infty \rho^3 \bar{q}(\rho) \bar{n}_0(\rho) d\rho}{\int_0^\infty \rho^3 \bar{n}_0(\rho) d\rho} - \frac{\int_0^\infty \rho \bar{q}(\rho) \bar{n}_0(\rho) d\rho}{\int_0^\infty \rho \bar{n}_0(\rho) d\rho} \right].$$

Corrections to density profile, cont'd

- Plots of density profile correction $\bar{q}(\rho)\bar{n}_0(\rho)$ for different values of intensity parameter $\bar{s} = 0.3; 0.6; 0.9; 0.99; 0.999$.



- Plots of function $A(\bar{s})$, (a) linear s -scale and (b) logarithmic s -scale.



- Here $\rho_{1/2}(\bar{s}) \simeq \ln \left[\frac{C}{\Delta} \sqrt{2\pi \frac{C}{\Delta}} \right]$ is the beam edge radius $\bar{n}_0(\rho_{1/2}) = 1/2$ and $C \approx 0.78$.

Specific example of the beam inside of perfectly conducting cylindrical boundary

- Kapchinskij-Vladimirskij distribution $G(K) = (\bar{n}_0/2\pi)\delta(K - K_0)$ with

$$n_0(r) = \begin{cases} \bar{n}_0, & r < a, \\ 0, & a < r \leq R_w. \end{cases}$$

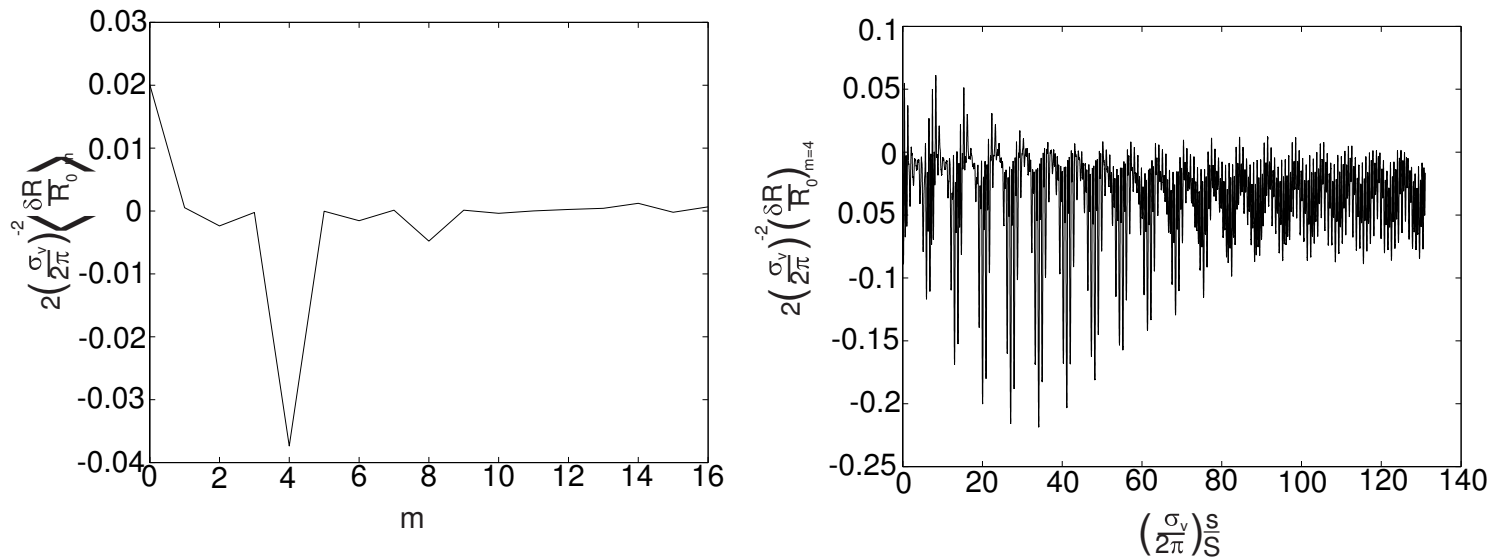
- Beam radius r_b becomes weakly dependent on the angle θ according to

$$r_b(\theta) = a \left\{ 1 + \epsilon^2 \langle v^2 \rangle \frac{\bar{s}}{(1 - \bar{s})} \left(\frac{(a/R_w)^4}{1 - \frac{2\bar{s}}{1-\bar{s}} \ln \frac{a}{R_w}} + \frac{\cos(4\theta)}{2} \frac{(a/R_w)^8}{1 + \frac{\bar{s}}{4(1-\bar{s})} \left(1 - \left(\frac{a}{R_w} \right)^8 \right)} \right) \right\}$$

- For $R_w \rightarrow \infty$, $\bar{\Psi}_1 = 0$, and the total self-field potential inside the beam is given by zero order potential Φ_0 , which is what one would expect for a Kapchinskij-Vladimirskij distribution in free space ($R_w \rightarrow \infty$) which generates the constant beam density and linear self-field forces.
- For finite R_w , the image charge oscillations produce additional contributions to the average self-field potential inside the beam, which lead to the octopole correction to the average beam radius.

Simulation studies

- The simulation results for a sinusoidal lattice $\kappa(s) = \kappa_0 \sin(2\pi s/S)$
- Beam intensity $\bar{s}_b = 0.5$, wall radius $R_w = 5R_0$, and $\sigma_v = 25^\circ$ which corresponds to $\epsilon = 0.07$.
- Fourier spectrum $\sim \exp(im\theta)$ of the averaged over time relative RMS radius change $\langle \delta R(\theta)/R_0 \rangle$ as a function of azimuthal mode number m .



- Time history of $m = 4$ component of $\delta R(\theta, s)/R_0$.

Results and Conclusions

- New Hamiltonian averaging technique has been developed, which incorporated both the applied periodic focusing force and the self-field force of the beam particles.
- Using the particle's vacuum phase advance $\epsilon = \sigma_v/2\pi$ treated as a small parameter, the perturbative series are constructed to transform away the fast particle orbit oscillations and obtain the average Hamiltonian accurate to order ϵ^3 .
- The higher order corrections allow us to extend the average formulaic results to larger vacuum phase advances approaching $\sigma_v \sim 90^\circ$ with accuracies to within several percent.
- The equations determining the average self-field potential have been derived for general boundary conditions by taking into account the average contribution of the charges induced on the boundary.
- The average equation can be used to find an approximate invariant of the original system, and can be used to determine self-consistent beam quasi-equilibrium solutions that are matched to the focusing channel.
- The time-dependent formulation can be used to describe collective beam dynamics which is slow in the transformed coordinates (slow compared to the period of of the lattice).

Results and Conclusions, cont'd

- The corrections to the self-field are small, and therefore the "smooth-focusing" approximation for the self-field potential can be a good approximation even for moderate values of the vacuum phase advance.
- For example, for vacuum phase advance of $\sigma_v = 90^\circ$ the correction to the RMS radius of the beam described by a thermal equilibrium distribution arising from the corrections to the average self-field potential is of order 0.5%.
- Nonetheless, note that because the average self-field potential acquires an octupole component, the average motion of some beam particles becomes non-integrable and the trajectories become chaotic.
- This chaotic behavior of some of the beam particles may change the nature of the Landau damping (or growth) of collective excitations supported by the beam.
- Also, due to the presence of the extra non-axisymmetric terms in the equations for the self-field potential, the stability properties of different beam quasi-equilibria can change significantly.